| Patient Identification   | (record a               | II dates a       | s mm/dd/   | уууу)                     |  |                                      |                      |               |  |  |
|--|-------------------------|------------------|------------|---------------------------|--|--------------------------------------|----------------------|---------------|--|--|
| First Name   |                         | *Middle Name     |            |                           | *Last Name   |                                      | Last Name Soundex    |               |  |  |
| Alternate Name Type<br>(ex: Birth, Call Me)  |                         | *First Name      |            |                           | *Middle Name   |                                      | *Last Name           |               |  |  |
| Address Type □ Residential □ Foster Home □ Homeless  |                         |                  |            | *Current                  | Addres   | ss, Street                           |                      |               | Address Date/                                |  |
| *Phone<br>( )  | City                    |                  | County     |                           |  | State/Country                        | *ZIP Code            |               |  |  |
| *Medical Record Number   |                         |                  | ,          | *Other ID Ty              | уре  |                                      | *Nı                  | umber         |  |  |
| U.S. Department of Health<br>& Human Services  |                         |                  |            |                           |  | Case Report Information NOT trans    | smitted to (         |               | Centers for Disease Contro<br>and Prevention |  |
| Health Department U  |                         | ecord all        | dates as   | mm/dd/y                   | ууу)   |                                      | Form a               | oproved OMB   | no. 0920-0573 Exp. 06/30/2019                |  |
| Date Received at Health De   | partment                |                  | eHARS D    | Document                  | UID _  |                                      | State Number         |               |  |  |
| Reporting Health Dept - Cit  | y/County                |                  |            |                           | City/Co  | ounty Number                         |                      |               |  |  |
| Document Source  |                         |                  | Surveillar | nce Method                | I □ Ac   | tive  Passive Follo                  | ow up 🗆 F            | Reabstraction | □ Unknown                                    |  |
| Did this report initiate a nev  ☐ Yes ☐ No ☐ Unknown   | w case invest           | igation?         | Report Mo  |                           | 1-Field  | Visit □ 2-Mailed □ 5-Electronic Tran |                      |               | e  |  |
| Facility Providing Info  | ormation (              | record al        | l dates as | s mm/dd/                  | уууу)  |                                      |                      |               |  |  |
| Facility Name  |                         |                  |            |                           |  |                                      | *Phone               | ( )           |  |  |
| *Street Address  |                         |                  |            |                           |  |                                      |                      |               |  |  |
| City   | Cou                     | ınty             |            |                           | State  | e/Country                            |                      |               | *ZIP Code                                    |  |
| Facility Inpatient:  |                         |                  |            |                           |  |                                      |                      |               |  |  |
| Date Form Completed  | *Person Completing Form |                  |            | *Phone ( )                |  |                                      |                      |               |  |  |
| Patient Demographics   | s (record a             | ıll dates a      | as mm/dd   | /уууу)                    |  |                                      |                      |               |  |  |
| Diagnostic Status at Report  □ 4-Pediatric HIV □ 5-Pedia   |                         |                  |            |                           | Country of US Other/US Dependency (please specify)       |                                      |                      |               |  |  |
| Date of Birth / /  |                         |                  |            |                           |  | Alias Date of Birth                  | n/_                  | /             |  |  |
| Vital Status □ 1-Alive □ 2-D   | ead                     | Date of          | Death      | _//                       |  | _                                    | State o              | f Death       |  |  |
| Date of Last Medical Evaluation// Date of Initial Evaluation for HIV/  |                         |                  |            |                           |  |                                      | /                    |               |  |  |
| Ethnicity ☐ Hispanic/Latino ☐ Not Hispanic/Latino ☐ Unknown  |                         |                  |            |                           | Expanded Et  |                                      |                      | d Ethnicity   | hnicity                                      |  |
| Race □ American Indian/Alaska Native □ Asian □ (check all that apply) □ Native Hawaiian/Other Pacific Islander □ N |                         |                  |            |                           | slack/African American<br>hite □ Unknown <b>Expanded</b> |                                      |                      | d Race        |  |  |
| Residence at Diagnos   | is (add ad              | ditional a       | ıddresses  | in Com                    | ments  | s) (record all da                    | ites as i            | nm/dd/yy      | yy)  |  |
| Address Type<br>(Check all that apply to addre   |                         | □ Residence      |            | sidence at<br>S diagnosis |  | esidence at E                        | Residenc<br>Seroreve |               | c □ Check if <u>SAME as</u> Current Address  |  |
| * Street Address   | ,                       | - I II V GIAGIII | OOIO AID   | -C diagnosis              | , 10   | Thatai Exposure                      | 30,01046             | Ad            | dress Date                                   |  |
| City   |                         | County           |            |                           | State  | e/Country                            |                      |               | //*ZIP Code                                  |  |
|  |                         |                  |            |                           | 1  |                                      |                      |               |  |  |

Public reporting burden of this collection of information is estimated to average 20 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. An agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless it displays a currently valid OMB control number. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to CDC, Project Clearance Officer, 1600 Clifton Road, MS D-74, Atlanta, GA 30333, ATTN: (PRA (0920-0573). **Do not send the completed form to this address.** 

| STATE/LOCAL USE ONI  | LY                      |   |   |            |   |  |  |  |
|--|-------------------------|---|---|------------|---|--|--|--|
| *Provider Name (Last, First,   | M.I.)                   |   |   |            |   |  |  |  |
| *Phone ( )   |                         |   |   |            |   |  |  |  |
|  |                         |   | ,   | ,          |   |  |  |  |
| Hospital/Facility  |                         |   |   |            |   |  |  |  |
|  |                         |   |   |            |   |  |  |  |
|  |                         |   |   |            |   |  |  |  |
| Facility of Diagnosis (a   | dd additional f         | acilities in Commen   | nts)                                      |            |   |  |  |  |
| Diagnosis Type (Check all tha  | t apply to facility bel | ow) □ HIV □ AIDS □ Per  | inatal Exposure □ Check if SAN            | ∕IE as F   | acility Providing Information                               |  |  |  |
| Facility Name  |                         |   |   | *Pho       | ne ( )  |  |  |  |
| *Street Address  |                         |   |   |            |   |  |  |  |
| City   | County                  |   | State/Country                             | *ZIP Code  |   |  |  |  |
|  |                         |   | ,   |            |   |  |  |  |
| <b>Facility</b> <u>Inpatient</u> : ☐ Hospital <b>Type</b> ☐ Other, specify   |                         | <i>t<u>patient</u>:</i> □ Private Physician's<br>Pediatric HIV Clinic □ Other, sp |   |            | acility: □ Emergency Room □ Laboratory own □ Other, specify |  |  |  |
| *Provider Name   |                         | *Provider Phone ( )   |   | Speci      | alty  |  |  |  |
| Patient History (respon  | nd to all guesti        | ons) (record all date   | es as mm/dd/vvvv)                         | <u> </u>   |   |  |  |  |
| Child's biological mother's HIV i  |                         |   |   | after this | child's birth   |  |  |  |
| ☐ Known HIV+ before pregnancy<br>☐ Known HIV+ after child's birth  |                         |   | HIV+ sometime before birth    Kus unknown | nown HI    | V+ at delivery  |  |  |  |
| Date of mother's first positive HIV confirmatory test:  Was the biological mother counseled about HIV testing during this pregnancy, labor, or delivery? |                         |   |   |            |   |  |  |  |
| After 1977 and before the ear  | liest known diagno      | osis of HIV infection, this   | child's biological mother had:            |            |   |  |  |  |
| Perinatally acquired HIV infection   |                         |   |   |            |   |  |  |  |
| Injected non-prescription drugs  |                         |   |   |            |   |  |  |  |
| Biological Mother had HETER  | ROSEXUAL relation       | ns with any of the followin   | g:  |            |   |  |  |  |
| HETEROSEXUAL contact with  | h intravenous/injecti   | on drug user  |   |            | □ Yes □ No □ Unknown  |  |  |  |
| HETEROSEXUAL contact with  | h bisexual male         |   |   |            | □ Yes □ No □ Unknown  |  |  |  |
| HETEROSEXUAL contact with  | h person with hemo      | philia/coagulation disorder v   | with documented HIV infection             |            | □ Yes □ No □ Unknown  |  |  |  |
| HETEROSEXUAL contact with  | h transfusion recipie   | ent with documented HIV inf   | ection                                    |            | ☐ Yes ☐ No ☐ Unknown  |  |  |  |
| HETEROSEXUAL contact with  | h transplant recipier   | nt with documented HIV infe   | ection                                    |            | □ Yes □ No □ Unknown  |  |  |  |
| HETEROSEXUAL contact with  | ·                       |   | •   |            | ☐ Yes ☐ No ☐ Unknown  |  |  |  |
| Received transfusion of blood/b  |                         |   | ***************************************   |            | □ Yes □ No □ Unknown  |  |  |  |
| First date received/ Last date received//  |                         |   |   |            |   |  |  |  |
| Received transplant of tissue/or   | ☐ Yes ☐ No ☐ Unknown    |   |   |            |   |  |  |  |
| Before the diagnosis of HIV infection, this child had:   |                         |   |   |            |   |  |  |  |
| Injected non-prescription drugs  |                         |   |   |            | ☐ Yes ☐ No ☐ Unknown  |  |  |  |
| Received clotting factor for hemophilia/ coagulation disorder  Specify clotting factor:  Date received:/   |                         |   |   |            |   |  |  |  |
| Received transfusion of blood/b  | □ Yes □ No □ Unknown    |   |   |            |   |  |  |  |
| First date received// Last date received//   |                         |   |   |            |   |  |  |  |
| Received transplant of tissue/or   | □ Yes □ No □ Unknown    |   |   |            |   |  |  |  |
| Sexual contact with male   |                         |   |   |            |   |  |  |  |
| Sexual contact with female   |                         |   |   |            |   |  |  |  |
| Other documented risk (please  | ☐ Yes ☐ No ☐ Unknown    |   |   |            |   |  |  |  |

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## Laboratory Data (record additional tests and tests not specified in Comments) (record all dates as mm/dd/yyyy)

| HIV Immunoassays (Non-differentiating)   |  |  |  |  |  |  |  |
|--|--|--|--|--|--|--|--|
| TEST 1: ☐ HIV-1 IA ☐ HIV-1/2 IA ☐ HIV-1/2 Ag/Ab ☐ HIV-1 WB ☐ HIV-1 IFA ☐ HIV-2 IA ☐ HIV-2 WB   |  |  |  |  |  |  |  |
| Test Brand Name/Manufacturer:  |  |  |  |  |  |  |  |
| RESULT:   Positive/Reactive   Negative/Nonreactive   Indeterminate   Collection Date://   Papid Test (check if rapid)  |  |  |  |  |  |  |  |
| TEST 2: ☐ HIV-1 IA ☐ HIV-1/2 IA ☐ HIV-1/2 Ag/Ab ☐ HIV-1 WB ☐ HIV-1 IFA ☐ HIV-2 IA ☐ HIV-2 WB   |  |  |  |  |  |  |  |
| Test Brand Name/Manufacturer:  |  |  |  |  |  |  |  |
| RESULT: □ Positive/Reactive □ Negative/Nonreactive □ Indeterminate Collection Date:/ □ Rapid Test (check if rapid)   |  |  |  |  |  |  |  |
| HIV Immunoassays (Differentiating)   |  |  |  |  |  |  |  |
| □ HIV-1/2 Type-differentiating (Differentiates between HIV-1 Ab and HIV-2 Ab)  Test Brand Name/Manufacturer:   |  |  |  |  |  |  |  |
| RESULT:   HIV-1   Both (undifferentiated)   Neither (negative)   Indeterminate   Result:   Resul |  |  |  |  |  |  |  |
| □ HIV-1/2 Ag/Ab-differentiating (Differentiates between HIV Ag and HIV Ab)  Test Brand Name/Manufacturer:  |  |  |  |  |  |  |  |
| RESULT:   Ag reactive   Both (Ag and Ab reactive)   Neither (negative)   Invalid/Indeterminate   Result:   |  |  |  |  |  |  |  |
| □ HIV-1/2 Ag/Ab and Type-differentiating (Differentiates among HIV-1 Ag, HIV-1 Ab, HIV-2 Ab)  Test Brand Name/Manufacturer:  |  |  |  |  |  |  |  |
| RESULT*: HIV-1 Ag HIV-Ab   |  |  |  |  |  |  |  |
| □ Reactive □ Nonreactive □ Not Reported □ HIV-1 Reactive □ HIV-2 Reactive □ Both Reactive, Undifferentiated □ Both Nonreactive  Collection Date:// *Select one result for HIV-1 Ag and one result for HIV Ab   |  |  |  |  |  |  |  |
| HIV Detection Tests (Qualitative)  |  |  |  |  |  |  |  |
| TEST:   HIV-1 RNA/DNA NAAT (Qual)  HIV-1 Culture  HIV-2 RNA/DNA NAAT (Qual)  HIV-2 Culture   |  |  |  |  |  |  |  |
| RESULT:   Positive/Reactive   Negative/Nonreactive   Indeterminate   Collection Date:///   |  |  |  |  |  |  |  |
| HIV Detection Tests (Quantitative viral load) Note: Include earliest test at or after diagnosis  |  |  |  |  |  |  |  |
| TEST 1: □ HIV-1 RNA/DNA NAAT (Quantitative viral load) □ HIV-2 RNA/DNA NAAT (Quantitative viral load)  |  |  |  |  |  |  |  |
| RESULT:   Detectable Undetectable Copies/mL: Log: Collection Date://   |  |  |  |  |  |  |  |
| TEST 2: □ HIV-1 RNA/DNA NAAT (Quantitative viral load) □ HIV-2 RNA/DNA NAAT (Quantitative viral load)  |  |  |  |  |  |  |  |
| RESULT:   Detectable Undetectable Copies/mL: Log: Collection Date://   |  |  |  |  |  |  |  |
| Immunologic Tests (CD4 count and percentage)   |  |  |  |  |  |  |  |
| CD4 at or closest to diagnosis: CD4 count:cells/µL CD4 percentage:% Collection Date://   |  |  |  |  |  |  |  |
| First CD4 result <200 cells/µL or <14%: CD4 count:cells/µL CD4 percentage:% Collection Date://   |  |  |  |  |  |  |  |
| Other CD4 result: CD4 count:cells/µL CD4 percentage:% Collection Date://   |  |  |  |  |  |  |  |
| Documentation of Tests   |  |  |  |  |  |  |  |
| Did documented laboratory test results meet approved HIV diagnostic algorithm criteria? ☐ Yes ☐ No ☐ Unknown If YES, provide specimen collection date of earliest positive test for this algorithm: ☐ / ☐ / ☐ / ☐ ☐ ☐ Complete the above only if none of the following was positive: HIV-1 Western blot, IFA, culture, viral load, or qualitative NAAT [RNA or DNA]  |  |  |  |  |  |  |  |
| If laboratory tests were not documented, HIV-Infected  |  |  |  |  |  |  |  |

## Clinical (record all dates as mm/dd/yyyy)

| Diagnosis  | Dx Date | Diagnosis   | Dx Date | Diagnosis  | Dx Date |
|--|---------|---|---------|--|---------|
| Bacterial infection, multiple or recurrent (including Salmonella septicemia) |         | HIV encephalopathy  |         | Mycobacterium avium complex or M. kansasii, disseminated or extrapulmonary   |         |
| Candidiasis, bronchi, trachea, or lungs                                      |         | Herpes simplex: chronic ulcers (>1 mo. duration), bronchitis, pneumonitis, or esophagitis |         | M. tuberculosis, pulmonary <sup>†</sup>                                      |         |
| Candidiasis, esophageal  |         | Histoplasmosis, disseminated or extrapulmonary  |         | M. tuberculosis, disseminated or extrapulmonary <sup>†</sup>                 |         |
| Carcinoma, invasive cervical   |         | Isosporiasis, chronic intestinal (>1 mo. duration)  |         | Mycobacterium, of other/unidentified species, disseminated or extrapulmonary |         |
| Coccidioidomycosis, disseminated or extrapulmonary                           |         | Kaposi's sarcoma  |         | Pneumocystis pneumonia   |         |
| Cryptococcosis, extrapulmonary   |         | Lymphoid interstitial pneumonia and/or pulmonary lymphoid hyperplasia                     |         | Pneumonia, recurrent in 12 mo. period  |         |
| Cryptosporidiosis, chronic intestinal (>1 mo. duration)                      |         | Lymphoma, Burkitt's (or equivalent)   |         | Progressive multifocal leukoencephalopathy                                   |         |
| Cytomegalovirus disease (other than in liver, spleen, or nodes)              |         | Lymphoma, immunoblastic (or equivalent)   |         | Toxoplasmosis of brain, onset at >1 mo. of age                               |         |
| Cytomegalovirus retinitis<br>(with loss of vision)                           |         | Lymphoma, primary in brain  |         | Wasting syndrome due to HIV  |         |

## **Birth History (for Perinatal Cases only)**

| Birth History (for Permatal Cases only)  |              |                          |                  |   |          |   |                     |  |  |
|--|--------------|--------------------------|------------------|---|----------|---|---------------------|--|--|
| Residence at Birth   |              |                          |                  |   |          |   |                     |  |  |
| Birth History Available □ Yes □ No □ Unknown □ Check if SAME as Current Addr   |              |                          |                  |   |          |   |                     |  |  |
| * Street Address   |              | City                     |                  |   |          |   |                     |  |  |
| County State/Country *ZIP Code   |              |                          |                  |   |          |   |                     |  |  |
| Facility of Birth  |              |                          |                  |   |          |   |                     |  |  |
| ☐ Check if SAME as Facility Providing Informat   | <u>on</u>    |                          |                  |   |          |   |                     |  |  |
| Facility Name of Birth (if child was born at home, enter "home birth")  *ZIP Code  *Phone ( )  |              |                          |                  |   |          |   |                     |  |  |
| Facility Type <u>Inpatient</u> : □ Hospital □ Other, specify   |              |                          |                  | Other Facility: □ Emergency Room □ Corrections □ Unknown □ Other, specify |          |   |                     |  |  |
| *Street Address  |              | City                     |                  |   | County   |   | State/Country       |  |  |
| Birth History  |              |                          |                  |   |          |   | •                   |  |  |
| Birth Weight lbs oz grams  |              | gle □ 2-Twin □ 9-Unknown |                  |   |          | Cesarean □ 3-Nor<br>/pe □ 9-Unknown     | n-Elective Cesarean |  |  |
| Birth Defects  | If yes,      | please specify:          |                  |   |          | •                                       |                     |  |  |
| Neonatal Status □ 1-Full-term □ 2-Prematu  | re 🗆 Unknown | Neonatal Ges             | stational Age in | Week  | (S:      | (99–Unknown                             | )                   |  |  |
| Gestational Month Prenatal Care Began (00-None,  | 99-Unknown)  | Prenatal Care            | e – Total numbe  | r of  |          | e, 99-Unknown)                          | ,                   |  |  |
| Did mother receive any antiretrovirals (ARVs ☐ Yes ☐ No ☐ Refused ☐ Unknown  |              |                          | If yes, please   | spec  |          | ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,, |                     |  |  |
| Did mother receive any ARVs during pregna □ Yes □ No □ Unknown   | ncy?         |                          | If yes, please   | spec  | ify all: |   |                     |  |  |
| Did mother receive any ARVs during labor/d  □ Yes □ No □ Unknown   | elivery?     |                          | If yes, please   | spec  | ify all: |   |                     |  |  |
| Maternal Information   |              |                          |                  |   |          |   |                     |  |  |
|  |              |                          |                  |   |          |   |                     |  |  |
| *Other Maternal ID – List Type Number  |              |                          |                  |   |          |   |                     |  |  |
| Coming Defended for and all datas as world formal  |              |                          |                  |   |          |   |                     |  |  |
| Services Referrals (record all dates as mm/dd/yyyy)  |              |                          |                  |   |          |   |                     |  |  |
| This child received or is receiving:   |              |                          |                  |   |          |   |                     |  |  |
| Neonatal ARVs for HIV prevention:   Yes  No  Unknown Date began:// Date of last use://   |              |                          |                  |   |          |   |                     |  |  |
| If Yes, please specify: 1)   | 2)           |                          | 3)               |   | 4)       |   | 5)                  |  |  |
| Anti-retroviral therapy for HIV treatment: □ Y   |              |                          |                  |   |          | te of last use:                         |                     |  |  |
| PCP Prophylaxis:   Yes No Unknown Date began:// Date of last use://  |              |                          |                  |   |          |   |                     |  |  |
| Was this child breastfed?   Yes   No  Unknown  |              |                          |                  |   |          |   |                     |  |  |
| This child's primary     □ 1- Biological Parent □ 2- Other Relative □ 3- Foster/Adoptive parent, relative □ 4- Foster/Adoptive parent, unrelated     □ 7- Social Service Agency □ 8- Other (please specify in comments) □ 9- Unknown |              |                          |                  |   |          |   |                     |  |  |
| Comments   |              |                          |                  |   |          |   |                     |  |  |
|  |              |                          |                  |   |          |   |                     |  |  |
| *Local/Optional Fields   |              |                          |                  |   |          |   |                     |  |  |
|  |              |                          |                  |   |          |   |                     |  |  |
|  |              |                          |                  |   |          |   |                     |  |  |

This report to the Centers for Disease Control and Prevention (CDC) is authorized by law (Sections 304 and 306 of the Public Health Service Act, 42 USC 242b and 242k). Response in this case is voluntary for federal government purposes, but may be mandatory under state and local statutes. Your cooperation is necessary for the understanding and control of HIV. Information in CDC's National HIV Surveillance System that would permit identification of any individual on whom a record is maintained, is collected with a guarantee that it will be held in confidence, will be used only for the purposes stated in the assurance on file at the local health department, and will not otherwise be disclosed or released without the consent of the individual in accordance with Section 308(d) of the Public Health Service Act (42 USC 242m).